

### **Amendments to the Claims**

This listing of claims will replace all prior versions and listings of claims in the application:

### **Listing of Claims**

1. (Currently Amended) A method of calculating asset cost basis of an asset comprising ~~the~~ steps of:

~~providing an asset, the ownership of which is tracked in a product system;~~  
~~reallocating the ownership of the asset;~~  
acquiring a reallocation of an ownership of the asset from a product system;  
further categorizing the reallocation of the asset into one of a plurality of at least one of:  
additional categories and sub-categories to establish an enhanced categorization of the  
reallocation, wherein the reallocation was previously categorized into one of a plurality of  
categories;

~~providing a management system for extracting the information on the asset, the~~  
~~reallocating of the ownership of the asset and the categorization of the reallocation of the~~  
~~ownership of the asset from the product system; and~~  
~~automatically calculating the cost basis change of the asset based on the categorization of the~~  
~~reallocation of the ownership of the asset in the management system.~~

calculating an adjusted cost basis of the asset based upon at least one of: the asset, the  
reallocation of the ownership of the asset and the enhanced categorization; and  
storing the adjusted cost basis in a management system.

2. (Currently Amended) The method of claim 1 further comprising the step of codifying the enhanced categorization of the reallocation of the ownership of the asset prior to automatically calculating the cost basis change of the asset.

3. (Original) The method of claim 1 further wherein the product system is an account.

Claims 4-5 (Cancelled)

6. (Currently Amended) The method of claim 1 further comprising the steps of:
- providing a portfolio having a plurality of assets distributed in one or a plurality of product systems;
  - ~~reallocating the ownership of a plurality of the assets;~~
  - acquiring a reallocation of an ownership of an asset for each of a plurality of assets from a product system;
  - further categorizing the reason for the reallocation of the ownership of for each of the assets into one of a plurality of at least one of: additional categories and sub-categories to establish an enhanced categorization of the reallocation, wherein the reallocation was previously categorized into one of a plurality of categories; and
  - automatically calculating the an adjusted cost basis change of each of the assets based upon the categorization of the reason for the reallocation of the ownership of the asset in the management system, the reallocation of the ownership of the asset, and the enhanced categorization.
7. (Original) The method of claim 1 wherein the reallocation of each asset is categorized by the reason for the reallocation.
8. (Currently Amended) The method of claim 1 further comprising the step of:
- preparing a report relating to the cost basis change of the asset based on at least one of: the categorization of the change in the ownership of the asset, the enhanced categorization and the adjusted cost basis of the asset.
9. (Currently Amended) The method of claim 1 further comprising ~~the steps of:~~
- reconciling the adjusted cost basis ~~change~~ of the asset to the product system; and
  - preparing a report relating to the cost basis change of the asset.
10. (Currently Amended) The method of claim 1 further comprising ~~the step of~~ utilizing tax lot information to more accurately determine cost basis change relating to the reallocation of the asset.

11. (Currently Amended) A system for calculating asset cost basis changes comprising:
- a product system; ~~an asset wherein~~ a reallocation of the ownership of ~~an~~ the asset is reallocated and tracked in ~~an~~ the account;
  - a means for further categorizing the reallocation into one of a plurality of at least one of: additional categories and sub-categories to establish an enhanced categorization of the reallocation, wherein the reallocation was previously categorized into one of a plurality of categories;
  - means for extracting information from the account relating to the reallocation and the enhanced categorization of the ownership of the asset via a management system; and
  - means for calculating the cost basis change of the asset based on at least one of: the reallocation, of the asset and the enhanced categorization.
  - means for storing the adjusted cost basis in a management system.

Claim 12 (Cancelled)

13. (Currently Amended) The system of claim ~~12~~11 wherein the enhanced categorization of the reallocation of the ownership of the asset relates to the reason for the reallocation of the ownership of the asset.

14. (Currently Amended) The system of claim ~~12~~11 wherein the enhanced categorization ~~means for categorizing the reallocation of the ownership of the asset~~ categorizes the asset into one of a plurality of categories based on the reason for the reallocation of the ownership of the asset.

15. (Currently Amended) The system of claim 11 wherein the means for calculating the cost basis change of the asset ~~based on the reallocation of the ownership of the asset~~ automatically calculates the cost basis change of the asset based on the information extracted from the account.

Claim 16 (Cancelled)

17. (Currently Amended) The system of claim 11 further comprising:  
means for analyzing the information extracted from the account relating to the asset, the reallocation, and the enhanced categorization prior to calculating the cost basis change of the asset.
18. (Currently Amended) The system of claim 11 further comprising:  
a portfolio comprising a plurality of assets in the account or a plurality of accounts; and  
wherein the means for calculating the cost basis change includes means for calculating the cost basis change of each of at least one of: the plurality of assets and the plurality of accounts.
19. (Original) The system of claim 18 further comprising:  
means for extracting information from the plurality of assets in the account via the management system.
20. (Currently Amended) The system of claim 18 wherein the means for calculating the cost basis change ~~of each of the plurality of assets~~ is done automatically.